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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/578,001	05/24/2000	C. Daniel McClain	ROWL-9955	4546

23123 7590 07/15/2004

SCHMEISER OLSEN & WATTS  
18 E UNIVERSITY DRIVE  
SUITE # 101  
MESA, AZ 85201

EXAMINER
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SANDERS, KRIELLION ANTIONETTE

ART UNIT	PAPER NUMBER
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1714

DATE MAILED: 07/15/2004

29

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/578,001

Applicant(s)

MCCLAIN ET AL.

Examiner

Kriellion A. Sanders

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1714

e029

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 87-327 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 87-327 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_.

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### DETAILED ACTION

Claims 87-327 of this application have been copied by the applicant from U.S. patent No. 6,531,537 or drafted as proposed counts to an Interference proceeding. These claims are not patentable to the applicant because they have been found to be unpatentable under 35 USC 112 and/or 35 USC 103. An Interference cannot be initiated since a prerequisite for interference under 37 CFR 1.606 is that the claim be patentable to the applicant subject to a judgment in the interference.

It is noted that at page 10 of the amendment filed 11/22/03 applicant has requested cancellation of claims 1-86. However, applicant is advised that claims 1-51 were cancelled in the amendment filed 6/30/03, paper #18.

### *Claim Rejections - 35 USC § 112*

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 87, 88, 90, 91, 93, 94, 96, 97, 99, 100, 102, 103, 105, 111, 112, 114, 115, 117, 118, 120, 121-124, 126, 127, 129, 130, 132, 133, 135, 136, 138, 139, 141, 142, 144, 145, 147, 148, 150, 151, 153, 154, 156, 157, 159, 160, 162, 163, 165, 166, 168, 169, 171, 172, 174, 175, 177, 178, 180, 181, 183, 184, 186, 187, 189, 190, 192, 193, 195, 196, 198, 199, 201, 202, 204, 205, 207-230, 247, 248-258, 310, 311, 321, 324 and 325 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a

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way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The specification fails to describe the following claimed components of the presently claimed invention:

**The specification does not indicate what is intended by the term, "mutually compatible".** Applicant uses the term, mutually compatible to describe the preprints of the claimed invention, yet, this term is not defined by the specification. The ordinary practitioner in this art would not know what applicant intends by this term. US Patent 6,531,537 to Friel et al. defines the term, "mutually compatible" as being relevant in describing preprints that if when admixed, show no signs of colloidal instability such as flocculation. See col. 4, lines 7-25 of Friel et al. Even if applicant were attempting to use the phrase "mutually compatible" in the same manner as Friel et al, there is nothing in the specification which would suggest that applicant's preprints are free of signs of colloidal instability and flocculation. Claims 87, 88, 90, 91, 93, 94, 96, 97, 99, 100, 102, 103, 111, 112,,l 114, 115, 117, 118, 120, 121, 123, 124, 126, 127, 129, 130, 132, 133, 135, 136, 138, 139, 141, 142, 144, 145, 147, 148, 150, 151, 153, 154, 16, 157, 159, 160, 162, 163, 165, 166, 168, 169, 171, 172, 174, 175, 177, 178, 180, 181, 183, 184, 186, 187, 189, 190, 192, 193, 195, 196, 198, 199, 201, 202, 204 and 205 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

**The specification does not indicate what is intended by the term, "extender pigment", nor is there any evidence that applicant utilizes an**

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**"extender pigment" in the present invention.** The specification fails to describe the following claimed components of the presently claimed invention:

The specification does not indicate what is intended by the term, "extender pigment". Applicant uses the term, "extender pigment" to describe the prepaints of the claimed invention, yet, this term is not defined by the specification. The ordinary practitioner in this art would not know what applicant intends by this term. US Patent 6,531,537 to Friel et al. provides a list of suitable, "extender pigments". See col. 10, line 53 through col. 11, line 2 of Friel et al. Even if applicant were attempting to use the phrase "extender pigments" to mean the same as in the Friel et al, there is nothing in applicant's specification which would suggest that applicant actually includes such components as calcium carbonate or aluminum silicate in the presently claimed prepaints.

Claims 87, 90, 93, 96, 99, 105, 111, 117, 120, 123, 126, 129, 132, 135, 138, 141, 144, 147, 150, 153, 156, 159, 162, 165, 168, 171, 174, 177, 180, 183, 186, 189, 192, 195, 198, 201, 204 and 247 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

**The specification does not indicate what is intended by the term, "latex polymeric binder", nor is there any evidence that applicant utilizes a "latex polymeric binder" in the present invention.** The specification fails to describe the following claimed components of the presently claimed invention:

The specification does not indicate what is intended by the term, "latex polymeric binder". Applicant uses the term, "latex polymeric binder" to describe the prepaints of the claimed

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invention, yet, this term is not included in the specification. The ordinary practitioner in this art would not know which polymeric components applicant intends by this term. US Patent 6,531,537 to Friel et al. provides a list of suitable, "latex polymeric binders for his invention". See col. 11, lines 3 through 28 of Friel et al. Even if applicant were attempting to use the phrase "latex polymeric binder" to mean the same as in the Friel et al invention. There is nothing in applicant's specification that would suggest that applicant actually includes latex polymeric binders such as polyvinyl acetate or styrene butadiene in the presently claimed prepaits. Applicant indicates at page 9, lines 5-13 of his specification that the resin utilized in the presently claimed paint products is a 100 % acrylic acrynol resin. This resin does not appear to correspond to the latex [polymeric binders defined in the Friel et al patent.

Claims 87-258 and 261-312 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

**The specification does not describe what is intended by the term, "opacifying pigment".** The specification fails to describe the following claimed components of the presently claimed invention:

The specification does not indicate what is intended by the term, "opacifying pigment". Applicant uses the term, "opacifying pigment" to describe the prepaits of the claimed invention, yet, this term is not included in the specification. The ordinary practitioner in this art would not know which types of pigments applicant intends by this term. US Patent 6,531,537 to Friel et al. describes opacifying pigments as white pigments which impart white scattering power to the

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paint across visible wavelengths without a high degree of absorption. Patentee indicates that opacifying pigments may be titanium dioxide, or a combination of titanium dioxide and auxiliary hiding pigments such as voided latex polymer particles, zinc oxide, lead oxide, synthetic polymer pigment and mixtures. See col. 10, lines 7 through 52 of Friel et al. Even if applicant were attempting to use the phrase "opacifying pigment" to have the same meaning as in the Friel et al invention, there is nothing in applicant's specification that would suggest that applicant actually includes these types of opacifying pigments in the presently claimed prepaits. Applicant indicates at the paragraph bridging pages 6 and 7 of his specification that his invention includes a pigment-containing constituent containing titanium dioxide. This pigment does not appear to correspond to opacifying pigment in the manner described by Friel and applicant provides no other description of the component.

Claims 93, 94, 95, 96, 97, 98, 135-140, 183-188, 235-236, 263-264, 277-278, 293-294 and 315-316 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

**The specification does not describe a component wherein a premixed pigment composition further comprises at least one resinous binder adsorbed onto the opacifying pigment, extender pigment or flattening agent.**

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The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 99-101, 147-149, 195-197, 225, 226, 227, 228, 231-260, 265, 281, 297, 310, 311 and 313 through 326 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Where applicant acts as his or her own lexicographer to specifically define a term of a claim contrary to its ordinary meaning, the written description must clearly redefine the claim term and set forth the uncommon definition so as to put one reasonably skilled in the art on notice that the applicant intended to so redefine that claim term. *Process Control Corp. v. HydReclaim Corp.*, 190 F.3d 1350, 1357, 52 USPQ2d 1029, 1033 (Fed. Cir. 1999). The term "PVC" in claims 99-101, 147-149, 195-197, 225, 226, 227, 228, 231-260, 265, 281, 297, 310, 311 and 313 through 326 is used by the claim to mean "an undetermined functional value that is not clear from the present disclosure", while the accepted meaning is "polyvinyl chloride." The term is indefinite because the specification does not clearly redefine the term.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are



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such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 207-212, 232-234, 237, 243, 244, 301-303, 306, 313, 314, 316, 319, 320 and 327 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tsuei, US Patent No. 5,643,669. Applicant's invention relates to a prepaint or composition comprising a combination of an opacifying pigment, a latex polymeric binder and a flattening agent. Tsuei discloses water based coating compositions which may be used to formulate paints. The compositions which possess a solids content of 30-70% per weight of composition comprise an acrylic based resin (urethane/acrylic), and may possess a thickener, a flattening agent and colorants such as titanium dioxide. See col. 3, lines 14-31, col. 4, lines 2-21, col. 6, lines 16-32, col. 7, lines 15-47, col. 9, lines 44-65 and col. 16, lines 39-64. Titanium dioxide is an opacifying pigment. It would have been obvious to one of ordinary skill in the art at the time of applicant's invention to select the a thickener, a flattening agent and titanium dioxide colorant set forth in Tsuei to formulate a paint e col. 6, lines 31-44. Patentee relates the viscosity in centipoises and does not address KU, however it would be obvious to the skilled artisan to adjust the viscosity of the paint compositions of Tsuei by altering the quantity of the thickening agent until the desired viscosity is achieved.

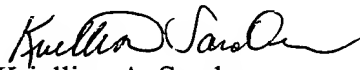
### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kriellion A. Sanders whose telephone number is 571-272-1122. The examiner can normally be reached on Monday through Thursday 6:30-7:00.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vasu Jagannathan can be reached on 571-272-1119. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
Kriellion A. Sanders  
Primary Examiner  
Art Unit 1714

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